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(54) Title: METHOD AND APPARATUS FOR CONTROLLING TRANSMISSION POWER IN A POTENTIALLY TRANSMISSION GATED OR ENERGY CAPPED COMMUNICATION SYSTEM		
(57) Abstract		<p>A power control system for controlling the transmission power in a system wherein the transmission power may be gated or capped. The receiver employs a combination of closed loop and outer loop power control. The outer loop is frozen upon detection by the receiver that the signal has been capped or gated.</p> <pre>graph TD     BEGIN([BEGIN]) --&gt; RECEIVE[RECEIVE SIGNAL]     RECEIVE --&gt; IS_GATED{IS SIGNAL GATED OR CHOPPED?}     IS_GATED -- YES --&gt; FREEZE[FREEZE OUTER LOOP]     FREEZE --&gt; COMPUTE[COMPUTE SIR]     COMPUTE --&gt; COMPARE[COMPARE SIR WITH OUTER LOOP THRESHOLD]     COMPARE --&gt; GENERATE[GENERATE PC COMMAND]     GENERATE --&gt; IS_FROZEN{IS OUTER LOOP FROZEN?}     IS_FROZEN -- YES --&gt; STOP([STOP])     IS_FROZEN -- NO --&gt; ADJUST[ADJUST OUTER LOOP IF NECESSARY]     ADJUST --&gt; STOP</pre>

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**METHOD AND APPARATUS FOR CONTROLLING  
TRANSMISSION POWER IN A POTENTIALLY TRANSMISSION  
GATED OR CAPPED COMMUNICATION SYSTEM**

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**BACKGROUND OF THE INVENTION**

**I. Field of the Invention**

10 The present invention relates to communications. More particularly, the present invention relates to a novel and improved method and apparatus for controlling transmission power in a wireless communication system.

15 **II. Description of the Related Art**

20 The use of code division multiple access (CDMA) modulation techniques is one of several techniques for facilitating communications in which a large number of system users are present. Other multiple access communication system techniques, such as time division multiple access (TDMA) and frequency division multiple access (FDMA) are known in the art. However, the spread spectrum modulation technique of CDMA has significant advantages over these modulation techniques for multiple access communication systems. The use of CDMA techniques in a multiple access communication system is disclosed in U.S. Patent No. 4,901,307, entitled 25 "SPREAD SPECTRUM MULTIPLE ACCESS COMMUNICATION SYSTEM USING SATELLITE OR TERRESTRIAL REPEATERS", assigned to the assignee of the present invention, of which the disclosure thereof is incorporated by reference herein. The use of CDMA techniques in a multiple access communication system is further disclosed in U.S. Patent 30 No. 5,103,459, entitled "SYSTEM AND METHOD FOR GENERATING SIGNAL WAVEFORMS IN A CDMA CELLULAR TELEPHONE SYSTEM", assigned to the assignee of the present invention, of which the disclosure thereof is incorporated by reference herein.

35 CDMA by its inherent nature of being a wideband signal offers a form of frequency diversity by spreading the signal energy over a wide bandwidth. Therefore, frequency selective fading affects only a small part of the CDMA signal bandwidth. Space or path diversity is obtained by providing multiple

signal paths through simultaneous links from a mobile user through two or more cell-sites. Furthermore, path diversity may be obtained by exploiting the multipath environment through spread spectrum processing by allowing a signal arriving with different propagation delays to be received 5 and processed separately. Examples of path diversity are illustrated in U.S. Patent No. 5,101,501 entitled "METHOD AND SYSTEM FOR PROVIDING A SOFT HANDOFF IN COMMUNICATIONS IN A CDMA CELLULAR TELEPHONE SYSTEM", and U.S. Patent No. 5,109,390 entitled "DIVERSITY RECEIVER IN A CDMA CELLULAR TELEPHONE SYSTEM", both assigned 10 to the assignee of the present invention and incorporated by reference herein.

A method for transmission of speech in digital communication systems that offers particular advantages in increasing capacity while maintaining high quality of perceived speech is by the use of variable rate 15 speech encoding. The method and apparatus of a particularly useful variable rate speech encoder is described in detail in U.S. Patent No. 5,414,796, entitled "VARIABLE RATE VOCODER", assigned to the assignee of the present invention and incorporated by reference herein.

The use of a variable rate speech encoder provides for data frames of 20 maximum speech data capacity when said speech encoding is providing speech data at a maximum rate. When a variable rate speech coder is providing speech data at a less than maximum rate, there is excess capacity in the transmission frames. A method for transmitting additional data in transmission frames of a fixed predetermined size, wherein the source of 25 the data for the data frames is providing the data at a variable rate is described in detail in U.S. Patent No. 5,504,773, entitled "METHOD AND APPARATUS FOR THE FORMATTING OF DATA FOR TRANSMISSION", assigned to the assignee of the present invention, of which the disclosure thereof is incorporated by reference herein. In the above mentioned patent 30 application a method and apparatus is disclosed for combining data of differing types from different sources in a data frame for transmission.

In frames containing less data than a predetermined capacity, power consumption may be lessened by transmission gating a transmission amplifier such that only parts of the frame containing data are transmitted. 35 Furthermore, message collisions in a communication system may be reduced if the data is placed into frames in accordance with a predetermined pseudorandom process. A method and apparatus for gating the

transmission and for positioning the data in the frames is disclosed in U.S. Patent No. 5,659,569, entitled "DATA BURST RANDOMIZER", assigned to the assignee of the present invention, of which the disclosure thereof is incorporated by reference herein.

5        A useful method of power control of a mobile in a communication system is to monitor the power of the received signal from the mobile station at a base station. The base station in response to the monitored power level transmits power control bits to the mobile station at regular intervals. A method and apparatus for controlling transmission power in  
10      this fashion is disclosed in U.S. Patent No. 5,056,109, entitled "METHOD AND APPARATUS FOR CONTROLLING TRANSMISSION POWER IN A CDMA CELLULAR MOBILE TELEPHONE SYSTEM", assigned to the assignee of the present invention, of which the disclosure thereof is incorporated by reference herein.

15      In a communication system that provides data using a QPSK modulation format, very useful information can be obtained by taking the cross product of the I and Q components of the QPSK signal. By knowing the relative phases of the two components, one can determine roughly the velocity of the mobile station in relation to the base station. A description of  
20      a circuit for determining the cross product of the I and Q components in a QPSK modulation communication system is disclosed in U.S. Patent No. 5,506,865, entitled "PILOT CARRIER DOT PRODUCT CIRCUIT", assigned to the assignee of the present invention, the disclosure of which is incorporated by reference herein.

25      There has been an increasing demand for wireless communications systems to be able to transmit digital information at high rates. One method for sending high rate digital data from a remote station to a central base station is to allow the remote station to send the data using spread spectrum techniques of CDMA. One method that is proposed is to allow the remote  
30      station to transmit its information using a small set of orthogonal channels, this method is described in detail in copending U.S. Patent No. 08/886,604, entitled "HIGH DATA RATE CDMA WIRELESS COMMUNICATION SYSTEM", assigned to the assignee of the present invention and incorporated by reference herein.

## SUMMARY OF THE INVENTION

The present invention is a novel and improved power control system  
5 for use in a communication system in which the transmission energy may  
be gated or capped or closed loop power control commands otherwise  
ignored without the knowledge of the transmitter of those power control  
commands. The present invention is illustrated in the context of  
10 controlling forward link transmission power. It will be understood by one  
skilled in the art that the present invention is equally applicable to  
controlling the reverse link transmission power and that the invention is in  
no way limited to the application of forward link power control. Simply, by  
15 exchanging the references of the mobile station to references of a base station  
and changing references of the base station to references to the mobile  
station, a reverse link power control system is described.

In mobile station 7, the forward link signals 3 are received and  
demodulated. In addition, the mobile station determines the adequacy of  
the received signal power of forward link signals 3. In accordance with the  
determined adequacy of the received signal energy of forward link signals 3,  
20 mobile station 7 generates a series power control commands. In the  
exemplary embodiment, the power control commands consist of a series of  
simple up/down commands to which base station 1 responds by increasing  
or decreasing the transmission energy of forward link signals 3. The present  
invention is equally applicable to the generation of other forms of closed  
25 loop power control, such as the generation of power control commands that  
are indicative of the amount of change to the transmission power of  
forward link signals 3.

In the exemplary embodiment, power control commands are  
generated by comparing the received signal to interference ratio (SIR) of  
30 forward link signals 3 to at least one signal to interference ratio threshold.  
In the exemplary embodiment, a single signal to interference ratio threshold  
is used in the generation of a one bit power control command. The signal to  
energy ratio threshold is set to provide a desired performance level, such as  
a desired frame error rate or symbol error rate. This desired performance  
35 level may vary based upon the type of service being provided on forward link  
signal 3. When the performance level of the received forward link

signal 3 varies from the desired performance level, the signal to interference ratio threshold is changed.

5 If the performance level of the received signal is less than the desired performance level, then the signal to interference ratio threshold is increased which will result in an increase in the received energy of forward link signals 3. Conversely, if the performance level of the received signal is greater than the desired performance level, then the signal to interference ratio threshold is decreased. It may seem counter intuitive to speak of a received signal being of too great a quality, but it should be remembered that  
10 this excess quality represents unnecessary energy employed in the transmission of forward link signals 3, which results in degradation of service to all other mobile stations served by base station 1 and to a reduction in the number of mobile station capable of being served by base station 1.

15 The varying of the signal to interference ratio thresholds based on measured performance metrics is referred to as outer loop power control. The feedback of power control commands based on comparing the measured signal to interference ratio to the variable threshold is referred to as closed loop power control. The combination of closed loop and outer  
20 loop power control is contemplated in both the ETSI UTRA candidate submission and the TIA cdma2000 candidate submission. The combination of closed loop power control and open loop power control is described in detail in aforementioned U.S. Patent 5,056,109.

25 Mobile station 7 generates the power control commands and transmits them along with traffic data, pilot symbol data back to base station 1 on reverse link signals 5. In the exemplary embodiment, reverse link signals 5 are CDMA signals. In particular, in the exemplary embodiment, reverse link signals 5 are CDMA signals transmitted in accordance with the description of in both the ETSI UTRA candidate submission and the TIA  
30 cdma2000 candidate submission. The reverse link signals for these two submissions are essentially identical for the purposes of the present invention. It should be understood that the present invention is equally applicable to other forms of CDMA signals and to other modulation schemes such as TDMA or GSM modulation schemes.

35 Base station 1 receives the power control commands from mobile station 7, and in response to those commands increase or decreases the transmission energy of forward link signals 3. However, there may be times

when base station 1 does not respond to the power control commands from mobile station 7. For example, base station 1 may not increase the energy of forward link signals 3 in response to power control commands from mobile station 7, when base station 1 determines that it cannot allocate additional 5 energy for the transmission to forward link signals 3 without causing unacceptable degradation to the transmission of signals to other mobile stations served by base station 1.

When base station 1 does not respond to the power control commands from mobile station 7, it can either continue transmitting at the 10 present transmission energy of forward link signals 3 (referred to herein in as "capping" the energy of forward link signals 3) or it can temporarily gate off the transmission of forward link signals 7 (referred to herein in as "gating" the energy of forward link signals 3). When the energy of forward link signals 3 is either capped or gated, reception of those frames or symbols 15 transmitted at the capped or gated energy in error may be unreliable.

Under traditional implementations, in response to the reception of the capped or gated frames or symbols, mobile station 7 will erroneously increase its signal to interference ratio threshold in the outer loop power control adjustment described above. This adjustment must be inhibited 20 because the cause of the frame or symbols errors is not a result of mobile station 7 sending incorrect power control commands but rather because base station 1 is ignoring those commands and this is not within the control of mobile station 7. The present invention addresses this problem of controlling adjustment of the outer loop power control system in the 25 presence of potentially gated or capped signals.

## BRIEF DESCRIPTION OF THE DRAWINGS

30 The features, objects, and advantages of the present invention will become more apparent from the detailed description set forth below when taken in conjunction with the drawings in which like reference characters identify correspondingly throughout and wherein:

35 FIG. 1 is a block diagram illustrating the two basic components of a wireless communication system- the base station and the mobile station;

FIG. 2 is a block diagram of the base station of the present invention; and

FIG. 3 is a block diagram of the mobile station of the present invention.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

5

### Outer Loop Control in a Potentially Gated or Capped Transmission System

Referring to FIG. 1, a wireless communication system is illustrated in 10 which base 1 transmits a wireless communication signal 3 to mobile station 7. Transmissions from base station 1 to mobile station 7 are referred to as forward link transmissions. In the exemplary embodiment, the wireless communication signals are transmitted using a code division multiple access (CDMA) modulation. The generation of CDMA signals is well 15 known in the art and is described in detail in the aforementioned U.S. Patent Nos. 4,901,307 and 5,103,459 and is standardized in Telecommunications Industry Association Interim Standard TIA/EIA/IS-95-A, entitled "MOBILE STATION-BASE STATION COMPATIBILITY STANDARD FOR DUAL-MODE WIDEBAND SPREAD SPECTRUM 20 CELLULAR SYSTEM" (hereafter the proposed IS-95 standard). The present invention is equally applicable to all wireless communication systems employing a combined closed loop and outer loop power control system.

The Telecommunications Industry Association proposed an evolution of the IS-95 standard that provides for high speed data 25 transmission in its submission to the ITU entitled "THE CDMA2000 ITU-R RTT CANDIDATE SUBMISSION". Similarly, the European Telecommunications Standards Industry (ETSI) has proposed an alternative evolution of second generation CDMA systems in its proposal to the ITU entitled "THE ETSI UMTS TERRESTRIAL ACCESS (UTRA) ITU-R RTT 30 CANDIDATE SUBMISSION". The present invention is particularly well suited for application to high rate CDMA communication systems, because the transmission of high speed data in a wireless communication system results more frequently in the inability to provide sufficient transmission power to reliably transmit the data. Thus, in the exemplary embodiment, 35 the forward link signals 3 are CDMA high speed data signals as described in the cdma2000 and UTRA proposals. It will be understood by one skilled in

the art that the present invention is also applicable to other wireless communication systems.

In the exemplary embodiment, forward link communications signals 3 include: pilot signals to allow for coherent demodulation by mobile station 7, primary traffic data, supplemental high speed data and power control signals. It will be understood by one skilled in the art that the list of information transmitted within forward link signals 3 is not all inclusive, nor need all the information listed be present in order to apply the present invention. Moreover, it will be understood that the present invention is 10 equally applicable to power control of the reverse link signals.

In mobile station 7, the forward link signals 3 are received and demodulated. In addition, mobile station 7 determines the adequacy of the received signal power of forward link signals 3. In accordance with the determined adequacy of the received signal energy of forward link signal 3, 15 mobile station 7 generates a series power control commands. In the exemplary embodiment, the power control commands consist of a series of one bit up/down commands to which base station 1 responds by increasing or decreasing the transmission energy of forward link signals 3. The present invention is equally applicable to the generation of other forms of closed 20 loop power control, such as the generation of power control commands that are indicative of the amount of change to the transmission power of forward link signals 3.

In the exemplary embodiment, power control commands are generated by comparing the estimated received signal to interference ratio 25 (SIR) of forward link signals 3 to a signal to interference ratio threshold. The signal to energy ratio threshold is set so as to provide for a desired performance level, such as a desired frame error rate or symbol error rate. This desired performance level may vary based upon the type of service being provided on forward link signal 3. When the performance level of 30 the received forward link signal 3 varies from the desired performance level, the signal to interference ratio threshold is changed.

If the performance level of the received signal is less than the desired performance level, then the signal to interference ratio threshold is increased. Conversely, if the performance level of the received signal is 35 greater than the desired performance level, then the signal to interference ratio threshold is decreased. It may seem counter intuitive to speak of a received signal being of too great a quality, but it should be remembered that

5 this excess quality represents unnecessary energy employed in the transmission of forward link signals 3, which results in degradation of service to all other mobile stations served by base station 1 and to a reduction in the number of mobile stations capable of being served by base station 1.

10 The varying of the signal to interference ratio thresholds based on measured performance metrics is referred to as outer loop power control. The feedback of power control commands based on comparing the measured signal to interference ratio to the variable threshold is referred to as closed loop power control. The combination of closed loop and outer loop power control is contemplated in both the ETSI UTRA candidate submission and the TIA cdma2000 candidate submission. The combination of closed loop power control and open loop power control is described in detail in aforementioned U.S. Patent 5,056,109.

15 Mobile station 7 generates the power control commands and transmits them along with traffic data, pilot symbol data back to base station 1 on reverse link signals 5. In the exemplary embodiment, reverse link signals 5 are CDMA signals. In particular, in the exemplary embodiment, reverse link signals 5 are CDMA signals transmitted in accordance with the 20 description of in either the ETSI UTRA candidate submission and the TIA cdma2000 candidate submission. The reverse link signals for these two submissions are essentially identical for the purposes of the present invention. It should be understood that the present invention is equally applicable to other forms of CDMA signals and to other modulation 25 schemes such as TDMA or GSM modulation schemes.

30 Base station 1 receives the power control commands from mobile station 7, and in response to those commands increases or decreases the transmission energy of forward link signals 3. However, there may be times when base station 1 does not respond to the power control commands from mobile station 7. For example, base station 1 may not increase the energy of 35 forward link signals 3 in response to power control commands from mobile station, when base station 1 determines that it cannot allocate additional energy for the transmission of forward link signals 3 without causing unacceptable degradation to the transmission of signals to other mobile stations served by base station 1.

When base station 1 does not respond to the power control commands from mobile station 7, it can either continue transmitting at the

present transmission energy of forward link signals 3 (referred to herein in as "capping" the energy of forward link signals 3) or it can temporarily gate off the transmission of forward link signals 7 (referred to herein in as "gating" the energy of forward link signals 3). When the energy of forward 5 link signals 3 is either capped or gated, reception of those frames or symbols transmitted at the capped or gated energy in error is unreliable.

In response to the reception of the capped or gated frames or symbols, mobile station 7 will erroneously increase its signal to interference ratio threshold in the outer loop power control adjustment described above. This 10 adjustment must be inhibited because the cause of the frame or symbols errors is not a result of mobile station 7 sending incorrect power control commands but rather because base station 1 is ignoring those commands and this is not within the control of mobile station 7. The present invention addresses this problem of controlling adjustment of the outer 15 loop power control system in the presence of potentially gated or capped signals.

FIG. 2 illustrates a simplified block diagram of base station 1. Information for transmission on forward link signals 3 is provided to encoder/interleaver 10, which provides forward error correction on the data 20 and then reorders the symbols in accordance with a predetermined interleaver format to provide time diversity in the transmitted signal. The interleaved encoded symbols are provided to modulator 12. In the exemplary embodiment, modulator 12 is a CDMA modulator, the design and implementation of which is known in the art and is described in detail 25 in the aforementioned U.S. Patent Nos. 4,901,307 and 5,103,459. In particular, in the exemplary embodiment, modulator 12 is a CDMA modulator capable of transmitting high speed data such as is described in the aforementioned UTRA and cdma2000 specifications.

The modulated signal is provided to transmitter (TMTR) 14, which 30 up converts, amplifies and filters the signal for transmission. In the exemplary embodiment, transmitter 14 modulates the signals for transmission using a quaternary phase shift keying (QPSK) modulation format. The present invention is applicable to any form of modulation, such as BPSK, QAM or FSK modulation. The modulated signals are 35 amplified to a level of transmission energy in accordance with a power control signal from power control processor 18. The QPSK signal is

provided from transmitter 14 for transmission through antenna 16 as forward link signals 3.

Turning to FIG. 3, the forward link signals 3 are received by mobile station 7 at antenna 50 and are provided through duplexer 52 to receiver (RCVR) 54. Receiver 54 down converts, filters and amplifies the received signal and provides the received signal to demodulator 56. In addition, receiver 54 provides an indication of the in-band energy to signal to interference ratio computation element 62 and to transmission gating detector 68, the operation of which are described later herein.

Demodulator 56 demodulates the received signal and provides the demodulated symbol data to de-interleaver/decoder 58. De-interleaver/decoder 58 reorders the demodulated symbols and decodes the reordered symbols in accordance with a predetermined for error correction format such as a convolutional decoding or turbo decoding format and provides the decoded data stream to the user of mobile station 7 or for further processing prior to provision to the user of mobile station 7. In addition, de-interleaver/decoder 58 provides a signal indicative of whether the frame was able to be reliably decoded or alternatively an indication of the symbol error rate in the decoded frame of data to threshold generator 70.

In the exemplary embodiment, information from receiver 54 and from demodulator 56 is provided to forward link power control processor 60. Signal to interference ratio (SIR) computation element 62 estimates the signal to interference ratio of forward link signal 3.

A simplistic method of computing signal to interference ratio would be to assume that all in-band energy is representative of the interference energy. Because receiver 54 would typically include an automatic gain control element that normalizes the signal based on the amount of in band energy, this value can be provided directly from receiver 54 to signal to interference ratio (SIR) computation element 62. Demodulator 56 demodulates the received signal and extracts the forward link signal 3 from signals that are intended for transmission to other mobile stations served by base station 1. The energy of the demodulated symbols are summed to provide a signal energy estimate. The signal energy estimate provided is then divided by the in band energy value to provide a rough estimate of the signal to interference ratio.

In the exemplary embodiment, forward link signal 3 is a variable rate transmission signal wherein the rate is unknown *a priori* to mobile station

7. In the exemplary variable rate forward link signal 3, each transmitted signal is repeated within the transmission signal as many times as possible to fill a fixed length frame of data. More importantly for purposes of the present invention, the energy of the signal is varied in inverse proportion 5 to the amount of repetition in forward link signal 3. This results in a constant symbol energy and approximately uniform performance across the rates.

This complicates the estimation of the signal energy because the symbol energy is spread across time and, in order to determine the 10 sufficiency of the symbol energy, the signal energy estimation algorithm must have a fixed reference that does not vary with the unknown rate of the information. In the exemplary embodiment, power control bits are punctured into forward link signal 3 and the energy of these bits is set at a fixed relation to the energy used in the transmission of the maximum rate 15 information signal.

These non rate varying power control symbols can be used in one of two ways. They can either be used to make a preliminary estimation of the rate of the information signal by estimating the ratio between the fixed energy power control symbols. The energy of the traffic data a preliminary 20 estimate of the rate of the traffic data can be made and this can be used to modify the computed traffic energy for comparison with a single non varying signal to interference ratio threshold. Alternatively, the preliminary rate estimation can be used such that the signal to interference ratio is compared to a set of rate dependent thresholds.

25 An alternative method for using the power control bits that have a fixed transmission energy relationship to the transmission energy of the maximum rate information signal is to use the power control bits themselves to compute the signal energy. Under this method the energies of the power control bits represent the signal energy and this energy is 30 computed and used directly in the computation of the signal to interference.

Another difficulty in computing the signal to interference ratio of the received CDMA signal is a result of the orthogonality of single path signals from base station 1 to mobile station 7. The problem is that the in band 35 energy does not accurately represent the interference energy in a strong single path reception scenario such as when mobile station 7 is in line of sight of base station 1. The in band energy will include energy that is orthogonal to forward link signals 3 and the orthogonal energy does not

contribute to the interference limiting noise because it can be entirely eliminated in the demodulator.

In the exemplary embodiment, each base station modulates the signal by first spreading the data in accordance with an orthogonal channelization 5 and then spreading the resultant orthogonally spread data in accordance with a pseudo noise (PN) sequence. PN sequences include Gold codes and maximal length codes the generation of which is well known in the art. One method of dealing with the additional complexity of orthogonal in band energy is to remove the PN spreading and to compute the energy of 10 the despread signal. This energy can then be subtracted from the in band energy to provide an estimate of the estimate of the noise signal. Another method is compute the variance on a fixed energy signal that is part of forward link signal 3, such as a fixed energy pilot signal.

The complexities of computing the signal to interference ratio in an 15 variable rate CDMA signal using orthogonal spreading is addressed in copending U.S. Patent Application Serial No. 08/722,763, filed September 27, 1996, entitled "METHOD AND APPARATUS FOR MEASURING LINK QUALITY IN A SPREAD SPECTRUM COMMUNICATION SYSTEM", disclosure of which is assigned to the assignee of the present invention and 20 incorporated by reference herein. It will be understood by one skilled in the art that the present invention is equally applicable to any method for computing the signal quality metric that is used to compare against the threshold value.

Signal to interference ratio computational element 62 provides the 25 signal to interference ratio estimate to comparator 64. In comparator 64 the signal to interference ratio estimate is compared against the signal to interference ratio threshold. In the exemplary embodiment, a single threshold is used and a single bit is provided from comparator 64 indicative of whether the signal to interference estimate is greater than or less than the 30 signal to interference ratio threshold. This single power control bit is provided to power control bit generator (PC Bit GEN). PC bit generator 66 generates a power control command in accordance with the comparison by comparator 64. The power control command is provided to the transmission subsystem 77 of mobile station 7 for transmission on reverse 35 link signal 5.

As stated above, de-interleaver/decoder 58 provides a signal indicative of whether the frame was correctly decoded or whether a frame

erasure was declared. Threshold generator 70 compiles a statistic of the frame error rate or other metric such as symbol error rate. In normal operation, when the frame error rate rises above the desired frame error rate threshold, generator 70 increases the signal to interference threshold and 5 provides the new higher threshold value to comparator 64. Alternatively, in normal operation, when the frame error rate falls below the desired frame error rate threshold, generator 70 lowers the signal to interference threshold and provides the new lower threshold value to comparator 64.

However, in the present invention, when forward link signal 3 is 10 detected to be capped or gated by transmission gating detector 68, transmission gating detector 68 sends a signal to threshold generator 70 stopping its threshold updating operation and preventing any update of the frame error rate statistic of threshold generator 70.

In the exemplary embodiment, transmission gating detector 68 detects 15 gating of forward link signal 3 by computing the energy of the demodulated signal from demodulator 56. If the energy is below a predetermined noise threshold, then forward link signal 3 is declared to be gated off and the operation of threshold generator 70 is suspended. In the exemplary embodiment, transmission gating detector 68 detects signal capping by 20 recognizing an absence of increase in the energy of forward link signals 3 in response to a series of "up" commands being sent back to base station 1. After a predetermined number of "up" commands have failed to increase the received energy of forward link signals 3, forward link signal 3 is declared to be capped and the operation of threshold generator 70 is 25 suspended.

Returning to the operation of reverse link transmission subsystem 77, information for transmission on reverse link signals 5 is provided to encoder/interleaver 78, which provides forward error correction on the data and then reorders the symbols in accordance with a predetermined 30 interleaver format to provide time diversity in the transmitted signal. The interleaved encoded symbols are provided to power control puncturing element 76, which punctures the power control symbols into the outgoing data. The signal is then provided to modulator 74. In the exemplary embodiment, modulator 74 is a CDMA modulator, the design and 35 implementation of which is known in the art and is described in detail in the aforementioned U.S. Patent Nos. 4,901,307 and 5,103,459. In particular, in the exemplary embodiment, modulator 74 is a CDMA modulator capable

of transmitting high speed data such as is described in the aforementioned UTRA and cdma2000 specifications and is described in further detail in aforementioned copending U.S. Patent Application Serial No. 08/886,604.

5 The modulated signal is provided to transmitter (TMTR) 72, which up converts, amplifies and filters the signal for transmission. In the exemplary embodiment, transmitter 74 modulates the signals for transmission using a quaternary phase shift keying (QPSK) modulation format. The present invention is applicable to any form of modulation, such as BPSK, QAM or FSK modulation. The QPSK signal is provided 10 through duplexer 52 for transmission through antenna 50 as reverse link signals 5.

15 Returning to FIG. 2, reverse link signals 5 are received by base station 1 at antenna 28 and are provided through to receiver (RCVR) 26. Receiver 26 down converts, filters and amplifies the received signal and provides the received signal to demodulator 24. Demodulator 24 demodulates the received signal and provides the demodulated symbol data to de-multiplexer 18. De-multiplexer 18 separates the power control commands from the signal and provides those commands to power control processor 18.

20 The traffic data is provided to de-interleaver/decoder 58. De-interleaver/decoder 58 reorders the demodulated symbols and decodes the reordered symbols data in accordance with a predetermined for error correction format such as a convolutional decoding or turbo decoding format and outputs the decoded data stream to a base station controller (not 25 shown).

Under normal operation, power control processor 18 generates a new transmission power for the transmission of forward link signals 3 in accordance with the received power control commands. However, power control command processor 18 also determines the transmission energy of 30 forward link signals 3 in accordance with transmission control data. The transmission control data will for example provide a maximum transmission energy for the transmission of forward link signals 3. When in response to the received power control commands, the transmission energy would exceed the allowed maximum transmission of the forward 35 link signals 3, then the transmission energy of forward link signals 3 is either gated or capped and the operation proceeds as described above.

FIG. 7 illustrates the operation of the base station in a capped and gated operation. Curve 250 illustrates the path quality between the base station and the mobile station. Curve 252 illustrates the transmission power in response to changes in the path quality. The transmission energy should 5 inversely track the path quality or in other words the transmission energy should track the path loss. When the path loss is increased by an amount  $\Delta$ , the transmission power should be increased by  $\Delta$ . The horizontal line 256 represents the maximum transmit power of the base station. At this point, there is no remaining transmission power available.

10 In frame one, the desired transmission energy is always less than the maximum supply power. So in frame one the transmission energy can track the path loss. In frame 2 the path loss has increased to a an extent that at point 260, the transmission energy can no longer track the path loss. At 15 this point, in the exemplary embodiment, the transmission energy is capped which is represented by the flattening of the supplied transmission power and the separation between the actual supplied transmission power and the desired supplied transmission power.

It should be noted that it is the transmission energy of the dedicated forward link signals 3 that is capped. In an alternative embodiment, the 20 portion of frame 2 following point 260 could be gated. In a second alternative embodiment, the transmission energy at the point beyond point 260 could depend on the point within the frame that the requested energy passed the threshold 256. For example, if the requested energy passed threshold 256 more than half way through the transmission of frame 2 then 25 the energy would be capped. If the requested energy passed threshold 256 less than half way through the transmission of frame 2 then the energy would be gated.

In the exemplary embodiment, the dedicated forward link signals include the traffic channel signals and the power control commands. While 30 the traffic channel signals are capped or gated, the power control commands are still transmitted by the base station at the desired supply power. That is to say, even beyond point 260, the reverse link power control commands transmitted by the base station are still tracking the desired supply power curve 254 or a curve of a fixed relation to the desired power curve 254. This 35 means that the mobile station is capable of sending power control commands that track the path loss even when the transmission power of the traffic channel data is capped or gated.

Although base station 1 does not transmit the traffic data at the desired supply power, it still tracks the changes requested by the mobile station. In this fashion, base station 1 is capable of determining at point 262 where the desired supply power is less than the maximum supply power. In 5 the exemplary embodiment, the fourth frame is gated in its entirety and the subsequent frame is transmitted at the desired supply power indicated by curve 258.

10 **II. Outer Loop Control Based on Decoder Metrics and Accumulated Frame Energy**

In this second embodiment of the present invention the outer loop is controlled by factors in addition to the frame error rate. In a first aspect of the second embodiment, before changing the outer threshold based on a 15 frame error or a correctly received frame, the receiver determines whether the frame was received with accumulated energy over the frame in excess of the outer loop threshold.

If the frame was received correctly, the conventional response would be to incrementally reduce the SIR threshold. However, if the frame was 20 received correctly but the accumulated energy over the frame was in excess of the threshold, then it is inappropriate to reduce the energy threshold. Conversely, if a frame is received in error, the conventional response would be to incrementally increase the SIR threshold. However, if the frame was received in error and the accumulated energy over the frame was less than 25 the threshold SIR, then it is also inappropriate to increase the SIR threshold. Both of these responses are inhibited in the second embodiment of the present invention.

Moreover, the present invention will also provide a method for improving the amount of change in the outer loop SIR threshold. For 30 example, if the frame was received correctly and the accumulated energy over the frame was below the threshold in excess of a predetermined amount, then the threshold should be lowered by an amount greater than the amount that the threshold should be lowered should the frame have been received correctly and the frame energy is at the threshold amount. Conversely, if the frame was received in error and the accumulated energy 35 over the frame was in excess of the threshold more than a predetermined amount, then the threshold should be increased by an amount greater than

the amount that the threshold should be increased had the frame been received in error with an accumulated energy at the threshold amount.

Furthermore, the present invention will also provide a method for improving the amount of change in the outer loop SIR threshold in 5 contradiction to the frame error rate when decoder metrics indicate that such a change is required. For example, if the frame was received correctly but the decoder metrics indicate that the decoder is very close to failing then either the adjustment of the outer loop will be frozen or the threshold will be increased.

10 FIG. 5 is a flowchart implementing a simplified version of the present embodiment. The method used to determine changes in the SIR threshold will be described in conjunction with the receiver structure illustrated in FIG. 3. In block 200, de-interleaver/decoder 58 sends an indication to threshold generator 70 as to whether the frame was received correctly.

15 If the frame was received correctly, the process moves to block 202. Indication of the received energy is provided from receiver 54 to threshold generator 70. If the frame was received with an accumulated energy greater than an accumulated frame energy threshold, the SIR threshold is frozen. The reason for this is that the correct reception of the frame does not reflect 20 the accuracy of the threshold, since the energy of the received frame was in excess of that threshold. This can happen, for example, if the propagation path rapidly improves and the down commands from mobile station are inadequate to reduce the excess energy of the transmitted signal.

25 If the frame was not received with excess energy then the process moves to decision block 206. De-interleaver/decoder 58 sends an indication of the decoder metrics to threshold generator 70. The decoder metrics are an indication of how close the decoder is to failing to be able to decode the frame. Decoder metrics that are applicable include accumulated metrics in a trellis decoder, number of corrected symbol errors or the number of 30 iterations required for successful decoding. If the decoder metrics are good indicating that the decoder is operating near its optimal decoding strength, then the threshold is increased in block 208. If the decoder metrics are bad indicating that the decoder is operating near its breaking point at which it will be unable to successfully decode the frame, then the threshold is 35 increased in block 210.

Back in block 200, if the frame was received in error, then the process moves to block 212. Indications of the received energy are provided from

receiver 54 to threshold generator 70. If the frame energy was in excess of the accumulated received frame energy threshold, then the process moves to block 214 and the SIR threshold is increased. If the received energy was less than the accumulated frame energy threshold, then the process moves 5 to block 216 and the threshold is frozen.

FIG. 6 illustrates a preferred embodiment wherein the adjustments to threshold based on the frame error rate, the received SIR of the frame and the decoder metrics and in particular vary based on the received SIR. Points on the vertical axis represent the amount of adjustment to the threshold. 10 Points below the horizontal axis represent decreases to the SIR threshold and points above the horizontal axis represent increases to the SIR threshold. Points on the horizontal axis represent the received SIR of the frame minus SIR threshold previously used. Points to the left of the vertical axis represent received frame SIR values less than the current SIR 15 threshold and points to the right of the vertical axis represent received frame SIR values greater than the current SIR threshold.

There are four curves represented on the graph of FIG. 6. From which curve one extracts the amount of change to the SIR threshold depends on the whether the frame was received in error and on the decoder metrics. If 20 the frame was received in error the amount of change to the SIR threshold is selected from curve 250. If the frame was received correctly and the decoder metrics indicate that the decoder is operating near optimum decoding power, then the change to the SIR threshold is selected from curve 254. If the frame was received correctly and the decoder metrics indicate that 25 the decoder is operating with very little margin, then the change to the SIR threshold is selected from curve 252. If the frame was received correctly and the decoder metrics indicate that the decoder is operating with more margin than desired, then the change to the SIR threshold is selected from curve 256.

30 At point 258 on curve 250, the frame was received in error and the received SIR was greater than the threshold by a significant amount. Thus, the change to the threshold is greater than the change to the threshold had the frame been received in error with the received SIR at the threshold value illustrated by point 260. At point 262, the frame is received correctly 35 with nearly optimal decoder metrics and the received SIR is less than the threshold by a significant amount. Thus, the decrease in the SIR threshold is more than the decrease had the frame been received correctly with nearly

optimal decoder metrics and the received SIR is at the threshold illustrated by point 264.

The previous description of the preferred embodiments is provided to enable any person skilled in the art to make or use the present invention.

5 The various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without the use of the inventive faculty. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent

10 with the principles and novel features disclosed herein.

**WE CLAIM:**

## CLAIMS

1. Power control system for controlling the transmission energy  
2 of signals from a remote transmitter, comprising:  
4 closed loop power control means for generating closed loop power  
control commands in accordance with a comparison between a first  
5 received signal quality metric and a variable threshold;  
6 outer loop power control means for determining said variable  
threshold in accordance with a second received signal quality metric; and  
8 detector means for suspending the operation of said outer loop  
control means in accordance with a determination that said remote  
10 transmitter is not responding to said power control commands in a  
predetermined fashion.

2. The power control system of Claim 1 wherein said detector  
means suspends operation of said outer loop means upon in accordance  
4 with the detection of the gating of the transmission energy of signals from a  
remote transmitter in accordance with the received energy of said signals  
from said remote transmitter.

3. The power control system of Claim 1 wherein said detector  
means suspends operation of said outer loop means upon in accordance  
with the detection of the capping of the transmission energy of signals from  
4 a remote transmitter in accordance with the absence of increased received  
energy of said signals from said remote transmitter in response to at least  
6 one power control command requesting an increase in energy of said  
signals.

4. The power control system of Claim 1 wherein said outer loop  
2 power control means generates said variable threshold in accordance with a  
fram error rate metric.

5. The power control system of Claim 4 wherein said outer loop  
2 power control means generates said variable threshold in accordance with  
said fram error rate metric and a decoder metric.

6. The power control system of Claim 1 wherein said outer loop  
2 power control means generates said variable threshold in accordance with a  
fram error rate metric and the received energy of signals from said remote  
4 transmitter.

7. The power control system of Claim 1 wherein said outer loop  
2 power control means generates said variable threshold in accordance with a  
fram error rate metric, a decoder metric and the received energy of signals  
4 from said remote transmitter.

8. A method for controlling the transmission energy of signals  
2 from a remote transmitter, comprising the steps of:  
generating closed loop power control commands in accordance with a  
4 comparison between a first received signal quality metric and a variable  
threshold;  
6 determining said variable threshold in accordance with a second  
received signal quality metric; and  
8 suspending the determination of said variable threshold in  
accordance with a determination that said remote transmitter is not  
10 responding to said power control commands in a predetermined fashion.

9. The method of Claim 8 wherein said step of suspending the  
2 determination of said variable threshold is performed in accordance with  
the detection of the gating of the transmission energy of signals from said  
4 remote transmitter in accordance with the received energy of said signals  
from said remote transmitter.

10. The method of Claim 8 wherein said step of suspending the  
2 determination of said variable threshold is performed in accordance with  
the detection of the capping of the transmission energy of signals from a  
4 remote transmitter in accordance with the absence of increased received  
energy of said signals from said remote transmitter in response to at least  
6 one power control command requesting an increase in energy of said  
signals.

11. The method of Claim 8 wherein said step of generating said  
2 variable threshold is performed in accordance with a fram error rate metric.

12. The method of Claim 11 wherein said step of generating said  
2 variable threshold is performed in accordance with said frame error rate  
metric and a decoder metric.

13. The method of Claim 8 wherein said step of generating said  
2 variable threshold is performed in accordance with a fram error rate metric  
and the received energy of signals from said remote transmitter.

14. The method of Claim 8 wherein said step of generating said  
2 variable threshold is performed in accordance with a fram error rate metric,  
a decoder metric and the received energy of signals from said remote  
4 transmitter.

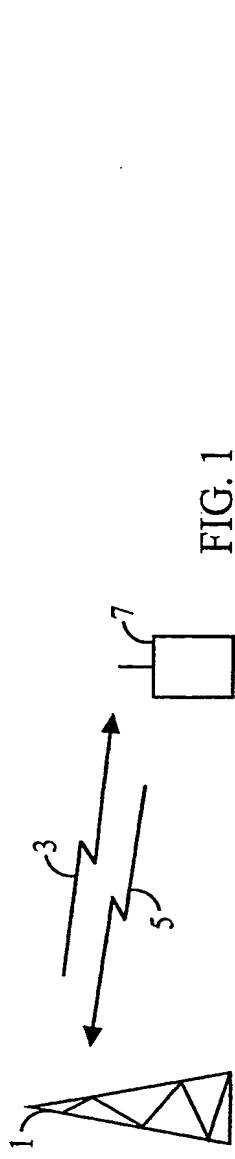


FIG. 1

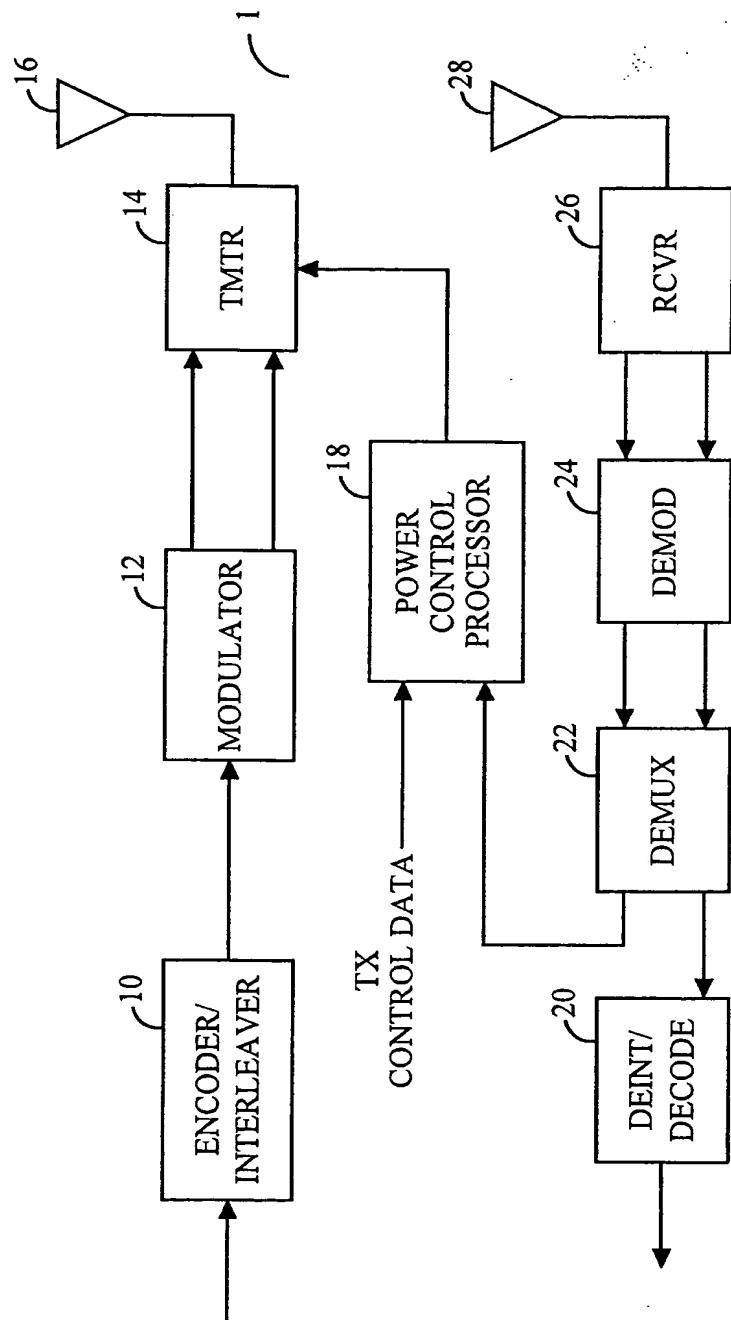


FIG. 2

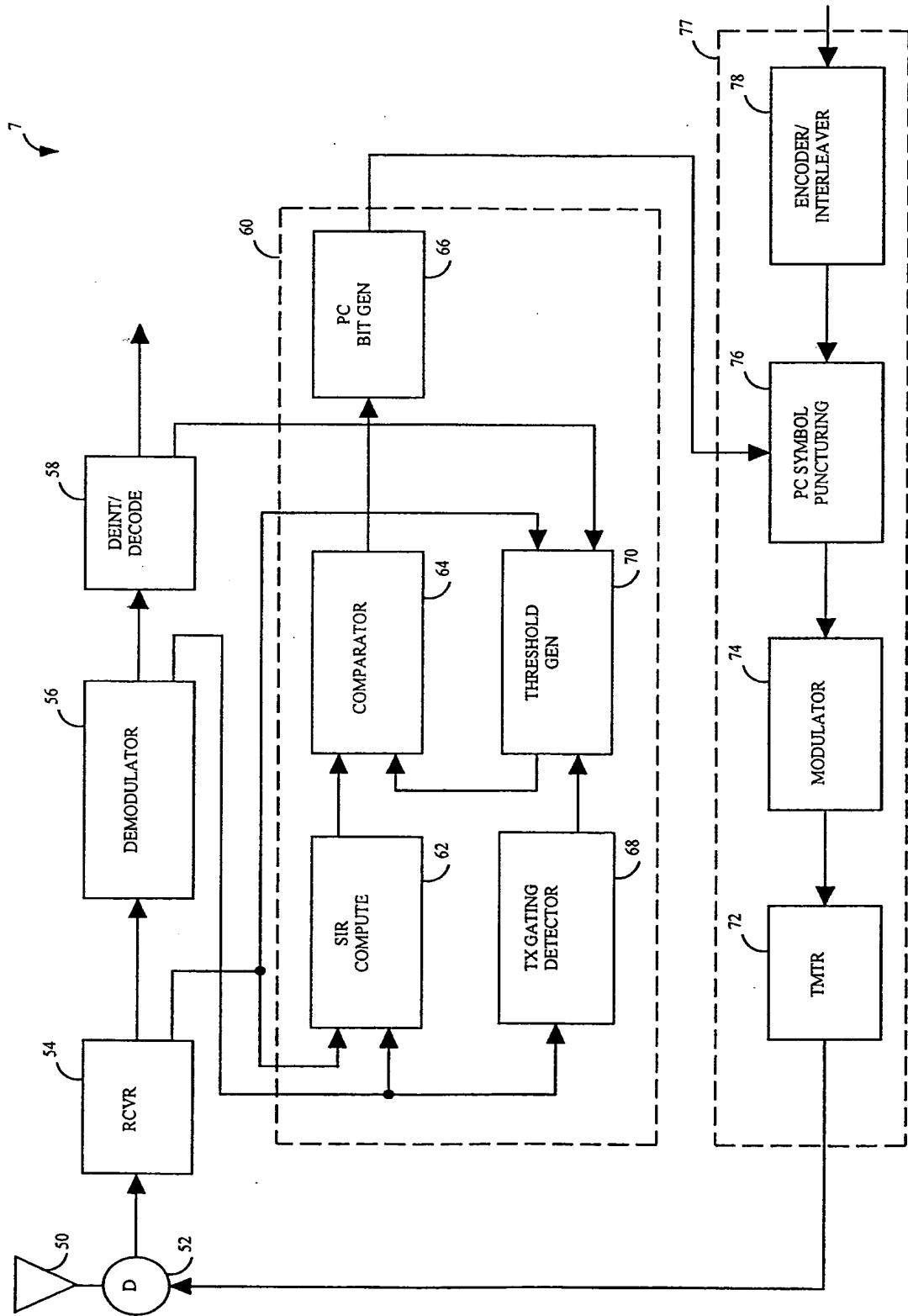


FIG. 3

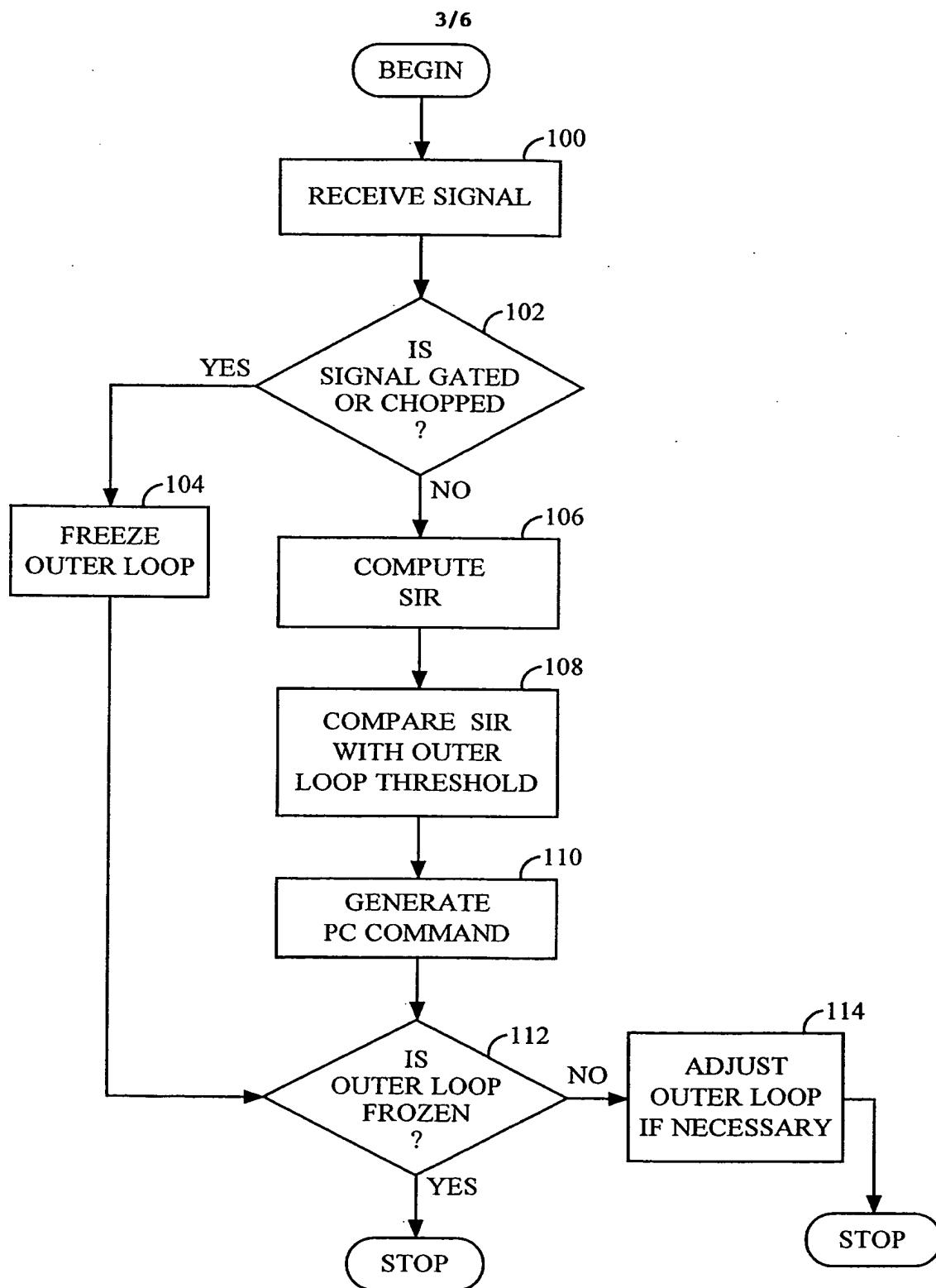


FIG. 4

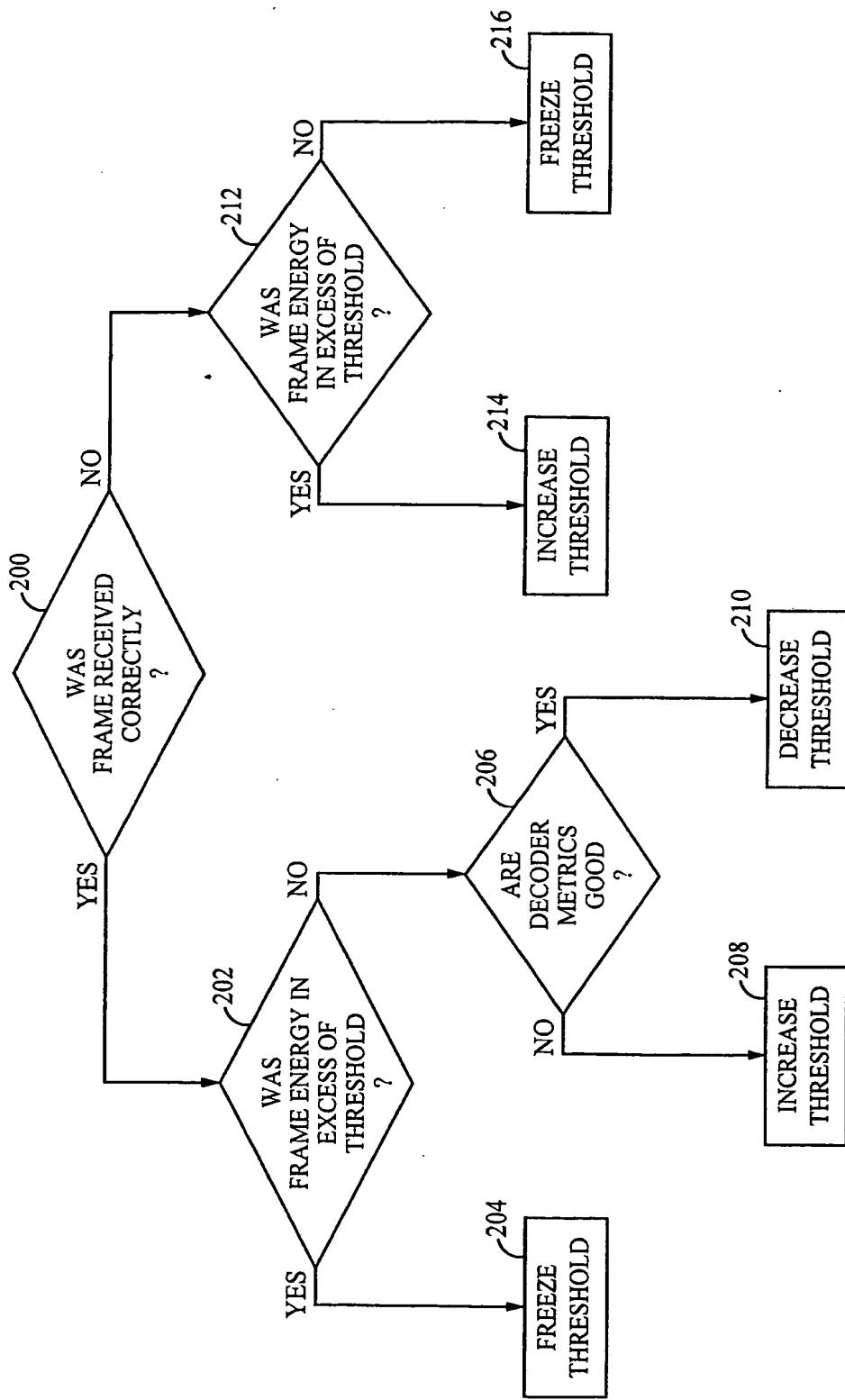


FIG. 5

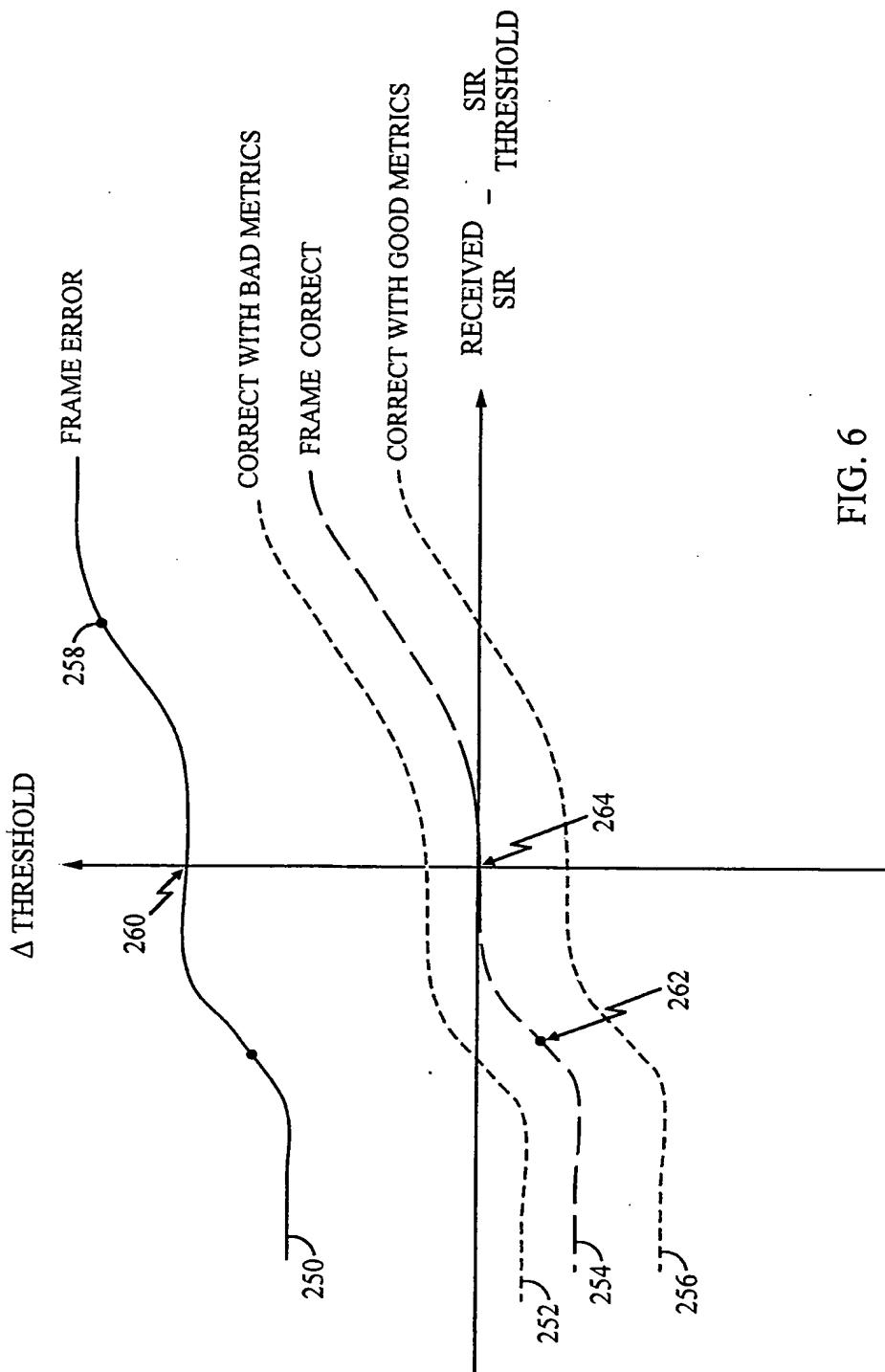


FIG. 6

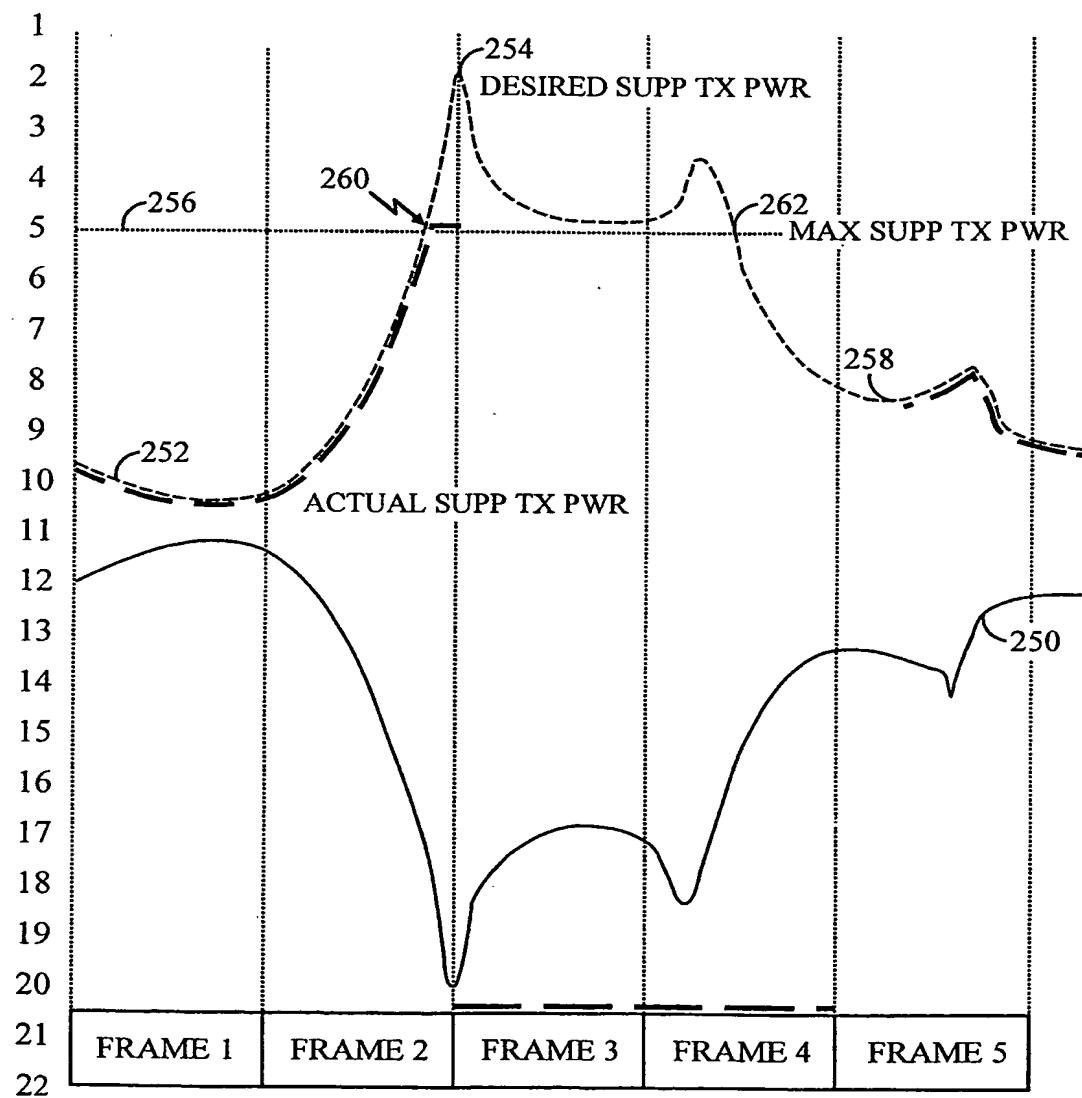


FIG. 7

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 00/02291

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04B7/005

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 98 45962 A (ERICSSON GE MOBILE INC) 15 October 1998 (1998-10-15) page 8, last paragraph -page 9, paragraph 3 page 12, last paragraph -page 16, paragraph 2; figures 5,6 ---	1-14
A	EP 0 748 066 A (GLOBALSTAR LP) 11 December 1996 (1996-12-11) column 16, line 4 - line 58; figure 9 ---	1-14
A	EP 0 682 419 A (NIPPON TELEGRAPH & TELEPHONE) 15 November 1995 (1995-11-15) column 6, line 10 - line 23 column 8, line 20 - line 43; figure 4 ---	1-14 -/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
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Date of the actual completion of the international search

19 June 2000

Date of mailing of the international search report

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**INTERNATIONAL SEARCH REPORT**International Application No  
**PCT/US 00/02291****C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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